



THE  
**CREATIVE  
LEARNING**  
PARTNERSHIP TRUST


# Appraisal Policy & Procedure for Teachers and Support Staff

Responsible Committee	CLPT Finance & Operations Committee
Date Approved by Committee	11th December 2024
Implementation Date	11th December 2024
Next Review Date	December 2025
Policy Owner	Laura Austen

This Policy has been created in accordance and to support the Mission, Values and Beliefs of The Creative Learning Partnership Trust.

Our Mission.

Creating transformational educative opportunities; promoting social justice; unlocking individual freedom.



14 BRAND BOOK | THE CREATIVE LEARNING PARTNERSHIP TRUST

Our Beliefs.


Our beliefs are what we value, they're what we passionately talk about.

**Creativity.**  
**What we mean:** Innovative problem solvers, use our knowledge and skills to turn ideas into reality.  
**What we don't mean:** Head in the clouds, waste time in wrong areas, not commercially aware

**Learning.**  
**What we mean:** Knowledge rich curriculum, nurture skills and talent, everyone can reach potential.  
**What we don't mean:** Everyone achieves the same standard, choices are removed.

**Partnership.**  
**What we mean:** Collaborate openly with others, willingly offer advice, happily request support.  
**What we don't mean:** Create knowledge silos, freely disclose sensitive information.

**Trust.**  
**What we mean:** Foster strong relationships, can count on others, have confident expectations.  
**What we don't mean:** Passing the buck, become complacent, rely too heavily on others.



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Our Personality.

Our personality expresses who we are, it's how we talk, act and behave.

**Integrity.**  
**What we mean:** Courage to do the right thing, taking time to care, education first.  
**What we don't mean:** Compromise professionalism or being unprofessional.


**Dedication.**  
**What we mean:** Committed to supporting and improving, work smart to make it happen, resourceful.  
**What we don't mean:** Working all hours, do everything yourself, neglect health and well-being.

**Kindness.**  
**What we mean:** Act with compassion, always thinking of others, being a good human.  
**What we don't mean:** Ignore consequences, brush things under the carpet, don't tackle issues.

**Understanding.**  
**What we mean:** Listening and valuing one another, have empathy and able to feel what others feel.  
**What we don't mean:** Take on other people's problems, preoccupied with concerns.

**Collaboration.**  
**What we mean:** Working together, enabling each other to develop positive outcomes.  
**What we don't mean:** Unfocused meetings or inefficient use of other people's time.

**Innovation.**  
**What we mean:** Using expertise and research to transform, always striving to improve.  
**What we don't mean:** Improving one area to the detriment of others or ignoring core ideals.



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## 1. Introduction

1.1 Appraisal arrangements for Teachers are set out in the Education (School Teachers' Appraisal) (England) Regulations 2012 (the Appraisal Regulations).

1.2 The Appraisal Regulations set out the principles that apply to teachers and all maintained schools and unattached teachers employed by a local authority, in each case where they are employed for one term or more.

1.3 Whilst the Appraisal Regulations do not apply to teachers and Headteachers in Academies the Trust recognises that it is good practice to have a system for managing the performance of all staff.

1.4 This Policy has been designed for use with all employees of the Trust including the (executive) headteachers, those in leadership posts, teachers, non-teaching and support staff.

1.5 This procedure/policy does not form part of any employee's contract of employment and it may be amended by the Creative Learning Partnership at any time, following consultation with recognised Trade unions.

**NB: For information, text in bold indicates statutory requirements contained in the Appraisal Regulations or the School Staffing Regulations which apply to Teachers.**

## 2. Policy for appraising employee performance

The Creative Learning Partnership Trust has adopted this policy in the Autumn Term 2024. It has been the subject of consultation with recognised Trade Unions. The policy will be reviewed in the Autumn Term 2025.

## 3. Purpose

3.1 This policy sets out the framework for a clear and consistent assessment of the overall performance of teachers, including the headteacher, CEO and Deputy CEO/Director of Education, non-teaching and support staff employed by the Trust. The appraisal procedure may also be used to address and manage relevant areas for improvement raised about an employee's performance which are not concerns serious enough to require a Performance Improvement process.

3.2 The policy is also the framework for supporting staff development within the context of the Trust's plan for improving educational provision and performance, and the standards expected of staff. Through the appraisal process the school seeks to improve outcomes for children/students by improving morale, encourage staff to update skills and encouraging professional dialogue. This policy will be operated separately to the Performance Improvement Policy, where this is relevant.

## 4. Application of the policy

**4.1 This policy applies to the CEO, Deputy CEO, headteachers and to all teachers employed by the Trust, except those on contracts of less than one term, those undergoing induction (i.e. ECTs) and those who are subject to the Formal Performance Improvement Policy.**

4.2 The policy will also be used with non-teaching and support staff so that a consistent approach in the management of staff is effective across the whole organisation. The Headteacher will consider whether it is appropriate to use the appraisal policy for other temporary staff or those subject to probationary period arrangements.

## 5. Appraisal

Appraisal will be a supportive and developmental process designed to ensure that all staff have the skills and support they need to carry out their role effectively. It will help to ensure that teachers and other staff are able to continue to improve their professional practice and to develop.

### 5.1 The appraisal period

- **The appraisal period will run for twelve months from:**

1/11/2024 – 31/10/2025 for teaching staff  
1/01/2024- 31/12/2025 CEO, Central Team and Head teachers  
1/04/2025 – 31/03/2026 Support Staff

- Teachers and others who are employed on a fixed term contract of less than one year will have their performance managed in accordance with the principles underpinning this policy. **The length of the period will be determined by the duration of their contract.**
- The Trust will work with the member of staff to determine the relevant appraisal period when employment

begins or ends during the normal appraisal period and will bring the cycle in line with others as soon as possible, although no member of staff should be unfairly prevented from progressing solely due to length of service.

## 5.2 Appointing Appraisers

- The CEO will be appraised (including objective setting) by the Finance & Operations Committee, supported by a suitably skilled and/or experienced external adviser who has been appointed by the Trust for that purpose.
- The Director of Finance and Director of Operations will be appraised (including objective setting) by the Deputy CEO/Director of Education. The Deputy CEO/Director of Education will be appraised (including objective setting) by the CEO.
- Headteacher's will be appraised (including objective setting) by the CEO and the Chair of the LGB supported by a suitably skilled and/or experienced external adviser who has been appointed by the Trust for that purpose.
- Headteacher's will decide who will appraise other teachers and support staff. Appraisers will be suitably skilled, trained and qualified to undertake the role. Appraisers will normally have line management responsibility for the appraisee and will be responsible for managing all aspects of the appraisal. All appraisers of teachers other than headteachers will have appropriate, relevant and current teaching experience. All appraisers should have Qualified Teacher Status and the appraiser will receive sufficient and appropriate training before undertaking the role. The appraiser should have equivalent or greater level of responsibility than the teacher they are appraising, and normally have line management responsibility.
- Where a teacher is of the opinion that their appraiser is unsuitable for professional reasons, they may submit a written request to the headteacher (or the Chair of the Finance, Operations & HR Committee in the case of the CEO, and headteacher) for an alternative appraiser, stating the reasons for the request. The request will be considered but ultimately it is for the headteacher (or Chair of the Finance, Operations & HR Committee in the case of the CEO, and headteacher) to make the decision.
- Where possible, alternative appraisers will be offered where there is a genuine and valid reason, or the appointed appraiser is not available due to long term sickness. This will be confirmed with the appraisee at the beginning of the process. Wherever practicable, an appraiser should ONLY have a maximum of four appraisees.
- Sufficient time, within directed hours, should be provided to enable the appraiser and appraisee to fulfil their obligations with regard to the appraisal process.

## 5.3 Setting ambitions (Appendix 3)

- 5.3.1 The Performance Development Review is a yearlong process conducted between a member of staff and their line manager and has two formal review points.
- 5.3.2 The process starts by setting up to 3 Performance development 'Ambitions' (PDA).
- 5.3.3 A mid-term review provides an opportunity to; assess progress against the Ambitions, celebrate success, identify next steps and amend Ambitions where necessary.
- 5.3.4 The cycle is completed with a final review followed by agreeing new Ambitions for the following academic year. These can be new Ambitions or build on the previous year's Ambitions.
- 5.3.5 The timeline for the Performance Development Review Cycle is shown below to support colleagues in meeting all necessary deadlines:

	<b>Deadline</b>
Performance Development Review takes place to include the review of 2023-24 Desirable Outcomes and the setting of Ambitions for 2024-25	31 <sup>st</sup> October 2024
Interim review completed	30 <sup>th</sup> April 2025
Annual review 2023-24 and setting of Ambitions 2024-25	31 <sup>st</sup> October 2025

- The headteacher's ambitions will be set by the Headteacher, CEO and LGB Chair after consultation with the external adviser. Ambitions for each employee will be set before, or as soon as practicable after, the start of each appraisal period. The ambitions set for each teacher will, if achieved, contribute to the school's plans for improving the school's educational provision and performance and improving the education of pupils at that school. This will be ensured by quality assuring all teaching objectives against the school improvement plan.
- The ambitions set for every employee will, if achieved, contribute to the schools' plans for improving the schools' education provision, performance and improving the education of pupils at the school.

When agreeing ambitions, the following principles will be used:

- Ambitions which are Specific, Measurable, Achievable, Realistic and Time-bound (SMART).
- Ambitions which are relevant, realistic and reasonable for the role, responsibility and experience of the post holder and in the context of the Trusts' wider HR policies.
- The number and type of Ambitions will be relevant to leadership/management resource of the whole school development plan, pupil progress objectives and contribute to professional development.
- No staff member will be given more than three agreed ambitions or additional sub-ambitions. Setting more than three agreed ambitions may cause increased workload and in most cases would be inconsistent with the Trust's strategy for achieving work/life balance for all staff.
- Ambitions themselves should not be based purely on numerical targets and success should not be determined by meeting these.
- Ambitions should be agreed between the appraiser and appraisee but, where this is not possible, determined by the appraiser. Where agreement cannot be reached, the Headteacher will make a determination (or the Finance & Operations Committee, where this is appropriate) following discussion with both parties. The appraisee may add comments to the plan.
- The appraiser will take into account the effects of an individual's circumstances, including any disability or the need to take statutory leave, when agreeing ambitions. For example, this might include a reasonable adjustment to allow an individual slightly longer to complete a task than might otherwise be the case. When staff return from a period of extended absence, objectives may be adjusted to allow them to readjust to their working environment.
- Ambitions will be quality assured and moderated by the headteacher or nominated performance management lead.

**Before, or as soon as practicable after, the start of each appraisal period, each teacher will be informed of the standards against which that teacher's performance in that appraisal period will be assessed.**

The agreed ambitions will contain a description of what success may look like, will be fully related to the

circumstances in which the teacher works and any factors outside teachers' control which may significantly affect success will be recognised.

The relevant standards for teachers are the set of standards contained in "The Teachers' Standards" as varied from time to time.

## 5.4 Reviewing performance

### Observation: Teachers

Observation of classroom practice and other responsibilities is important both as a way of assessing teachers' performance in order to identify any particular strengths or areas for development they may have and of gaining useful information which can inform school improvement more generally.

Observation arrangements will be based on the following principles:

- The numbers and types of observations will be appropriate to the individual circumstances of the teacher and needs of the school, but in all cases will not be excessive.
- Classroom observation for teachers will be carried out by those with QTS, in a supportive fashion, with professional integrity, courtesy and taking account of any potential circumstances on the day.
- For formal planned observation, 5 working days' notice will be given of time and date.
- 'Drop ins', for example learning walks, will not form part of the formal appraisal evidence.
- Verbal feedback should be given very shortly after observation with written feedback of the formal observation within 5 working days.
- Formal responsibilities of the post holder outside of the classroom will also be assessed as part of the appraisal process.
- Overall the number of observations of a teacher will be appropriate and reasonable taking account of the wellbeing and other circumstances of the teacher and the overall needs and resources of the school. Observations may be used for multiple purposes, by agreement, in order to minimise the number of observations being carried out. This should not, in most cases, exceed 3 hours' observation per cycle (adjusted appropriately for the teacher's working patterns).
- These principles are supplemented by a specific observation protocol (Appendix 2).

### Observation: Other Staff and Unattached Teachers

Appropriate mechanisms will be used to review performance for non-teaching staff and unattached teachers employed by the local authority. The overall approach will include the principles set out above but will need to be relevant to the role. Performance review may include:

- Review of produced work
- Classroom observations of classroom support staff
- Observation in meetings or service delivery
- Peer Review of classroom support staff
- Discussion and other feedback

### Reviewing other supporting information

Other information useful for reviewing performance may include:

- i Lesson plans
- ii Work sampling
- iii. Pupil progress information
- iv. Pupil progress meetings
- v. Pupil/parental discussion and feedback
- vi. Pupil behaviour management

## **Development and support**

Appraisal is a supportive process which will also be used to inform continuing professional and general development. It is important to encourage a culture in which all employees take responsibility for improving their performance and appropriate professional development. Professional development will be linked to school improvement priorities and to the ongoing professional development needs and priorities of individual teachers and other members of staff.

The Trust's CPD Plan will be informed by the training and development needs identified in appraisees' planning and review statements. In planning and providing budget and resources for staff development the Trust will take account of:

- The training and support required to meet school priorities
- The support agreed as essential for an appraisee to meet their objectives
- The future aspirations of all staff
- Fair and equal access to development

An account of the support and development needs of staff in general, including the instances where it did not prove possible to provide any of the agreed CPD and the reasons for this not being provided, will form a part of the annual report to the about the operation of the appraisal process.

## **Conversations**

Employees will receive constructive conversations on their performance throughout the year and as soon as practicable after observation has taken place or other evidence has been assimilated. Conversations will highlight particular areas of strength as well as any areas that need attention.

The appraisal meeting should hold no surprises for any member of staff as any concerns over performance should have been raised during the appraisal cycle. The appraiser will give consideration, as with the discussion of ambitions, to any circumstances or health issues which may have impacted on performance. Where any aspects of the employee's performance (as opposed to points for development) are of concern, the appraiser will meet the employee as soon as possible to:

- Give clear feedback about the nature and seriousness of the concerns;
- Give the employee the opportunity to comment and discuss the concerns;
- Re-confirm the requirements to be met (including reviewing set objectives to ensure they are achievable)
- Agree any support (e.g. coaching, mentoring, training, structured observations), that will be provided to help address those specific concerns;
- Make clear how, and by when, the appraiser will review progress, allowing an appropriate period of time to address the issues raised;
- Explain the implications and process if no – or insufficient – improvement is made
- The appraisee should receive – and be able to comment in writing upon – a written note of the meeting.

When progress is reviewed, if the appraiser is satisfied that the employee has made, or is making, sufficient progress, the appraisal process will continue as normal, with any remaining issues continuing to be addressed through that process.



## Where concerns continue

Employees should not be held accountable for failing to make good progress towards meeting their objectives where the CPD or support recorded in the planning statement as being required to make progress has not been provided.

No member of staff should be subject to formal Performance Improvement policy procedures without understanding in full that this is the case, the reasons for this process being initiated, and the possible outcomes should the process not result in the necessary improvements.

If, however, despite support and opportunities to improve, the appraiser continues to have serious concerns that inadequate improvement/progress has been made, the appraiser will consider in consultation with the headteacher as appropriate, whether the Performance Improvement procedure should be used. Should this be the case the employee will be invited in writing to a meeting under the Trust's Performance Improvement Policy.

At all stages of the appraisal and Performance Improvement procedures employees are able to seek advice and guidance from their Trade Union representatives or other forms of support.

Where appropriate improvement is achieved under this separate policy and any performance improvement process ceases, the employee's performance and development will be managed again in accordance with the Appraisal policy.

## 6. Annual assessment

**6.1** Each teacher's performance will be formally assessed in respect of each appraisal period. This approach applies similarly to non-teaching/support staff. In assessing the performance of the CEO and Headteacher's, the Trust will consult with an external adviser.

**6.2** This assessment is the end point to the annual appraisal process, but performance and development priorities will be reviewed and addressed on a regular basis throughout the year in interim meetings which will take place at specified intervals

**6.3 The teacher will receive as soon as practicable following the end of each appraisal period – and have the opportunity to comment in writing on - a written appraisal report. The appraisal report will include:**

- details of the employee's ambitions for the appraisal period in question;
- **an assessment of the employee's performance of their role and responsibilities against their ambitions and the relevant standards;**
- **an assessment of the employee's training and development needs and identification of any action that should be taken to address them;**
- other items specified by the school;
- a space for the appraisee's own comments.

The assessment of performance and of training and development needs will inform the planning process for the following appraisal period.

An account of the support and development needs of teachers in general, including the instances where it did not prove possible to provide any the agreed CPD and the reasons for this not being provided, will form a part of the head teacher's annual about the operation of the appraisal process in the school.

A short, written summary of the appraisal discussions held and ambitions set should be provided to non-teaching staff.

## 7. Pay Progression

7.1 The headteacher will make recommendations regarding pay progression to the Governing Body's pay committee in line with the Trusts Pay Policy and based on the statutory criteria and guidance set out in the STPCD and the relevant teacher standards. Annually teachers should expect to receive automatic pay progression within the maximum of their pay range unless they are subject to formal capability procedures. Pay progression will be immediately reinstated at the point of successfully exiting the formal capability process.

7.2 Where staff are intending to apply for progression through to Threshold – Upper Pay Range 1 in 2024 or 2025, there should be a dialogue to ensure that Ambitions and opportunities support their future application and ability to demonstrate achievement of the standard required. Applicants for threshold will need to provide demonstrable evidence to support their application. An application from a qualified teacher will be successful where the Pay Committee is satisfied that:

- (a) the teacher is highly competent in all elements of the relevant standards; and
- (b) the teacher's achievements and contribution are substantial and sustained.

For the purposes of this policy:

- **'highly competent'** may means

Experience and practice which is not only good enough to provide coaching or mentoring to other teachers but also to give advice to them and demonstrate to them effective teaching practice and how to make a wider contribution to the work of the school, in order to help them to meet the relevant standards and develop their teaching practice.

- **'substantial'** may means

Of real importance, validity or value to the school; play a critical role in the life of the school; provide a role model for teaching and learning; make a distinctive contribution to the raising of pupils' standards, take advantage of appropriate opportunities for professional development and use outcomes effectively to improve pupils' learning.

- **'sustained'** may means

Maintained continuously over a significant period of time which would normally be no more than two years. At this school the period considered is two years for both full time and part time employees.

7.3 Performance cycles end on 31<sup>st</sup> October for teachers and 31<sup>st</sup> December for headteachers.

7.4 The Academy Trust commits to adhering to the School Teachers' Pay and Conditions Document (STPCD). The Trust will ensure that teachers are not penalised during the appraisal process or in respect of any subsequent pay progression decisions as a result of the decision to restrict pupil attendance at schools, such as where this has had an impact on the ability of the teacher to meet fully their objectives.

7.5 Support staff will receive an annual appraisal in line with the Schools Appraisal Policy. There is no link between performance and pay progression as incremental progression is based on time in post including the maximum spine within each grade.

## 8. Appeal

**8.1** The appraisee may appeal against the Appraisal Report by appealing to the headteacher or the governing body (where headteacher has been the appraiser) within 10 working days, setting out the reasons for appeal and requesting a meeting to discuss their concerns. The meeting will be held in line with the Formal Meeting Procedure. They may be accompanied by a trade union representative/official or colleague. The Employee will be informed in writing of the outcome of the appeal as soon as possible following the meeting, but at the latest within 5 working days of the appeal meeting.

**8.2** Where the headteacher has not been recommended for pay progression they will be informed by the Finance & Operations Committee. The headteacher will notify any teacher who has not been recommended for pay progression and will not be progressed as a result of formal performance concerns. The headteacher/employee may exercise the right of appeal to the Trustees/LGB following its decision on pay, as above, assisted by a trade union representative/official or colleague.

## **Appendix 1 General principles underlying this Policy**

This Policy should be read in conjunction with other HR Policies adopted by the Academy Trust.

### **Confidentiality**

The appraisal processes will be treated with confidentiality. Access to appraisal records will generally be restricted to Appraiser/Appraisee and line manager (where different). However, the desire for confidentiality does not override the need for the headteacher and relevant body to quality-assure the operation and effectiveness of the appraisal system. The headteacher or appropriate colleague might review all teachers' objectives and written appraisal records personally, in order to check consistency of approach and expectation between different appraisers.

### **Consistency of Treatment and Fairness**

The Trust is committed to ensuring consistency of treatment and fairness and will abide by all relevant equality legislation.

### **Definitions**

Unless indicated otherwise, all references to "teacher" also include the headteacher.

Where the term 'working days' is used, it is intended to indicate days on which the school is open, and staff are expected to attend; therefore, it includes inset days but specifically excludes periods of school closure.

### **Delegation**

Normal rules apply in respect of the delegation of functions by the Trust, LGBs and Headteachers.

### **Monitoring and Evaluation**

The Trust will monitor the operation and effectiveness of the school's appraisal arrangements.

The headteacher will provide the Trust with a written report on the operation of the school's/academy's appraisal policy annually. The report will not identify any individual by name. The report will include an assessment of the impact of these policies on:

- Race
- Sex
- Sexual Orientation
- Disability
- Religion and beliefs
- Age
- Part-time Status
- Maternity and Pregnancy

The headteacher will report on whether there have been any appeals or representations on an individual or collective basis on the grounds of alleged discrimination.

### **Retention**

The Trust and head teacher will ensure that all written appraisal records are retained and stored in a secure place in line with the Academy Trust's Retention and Disposal Policy. The appraisee should retain their own copies.

## Appendix 2 - Classroom Observation Protocol for Appraisal Purposes

The Trust is committed to ensuring that classroom observation is developmental and supportive, and that those involved in the process will:

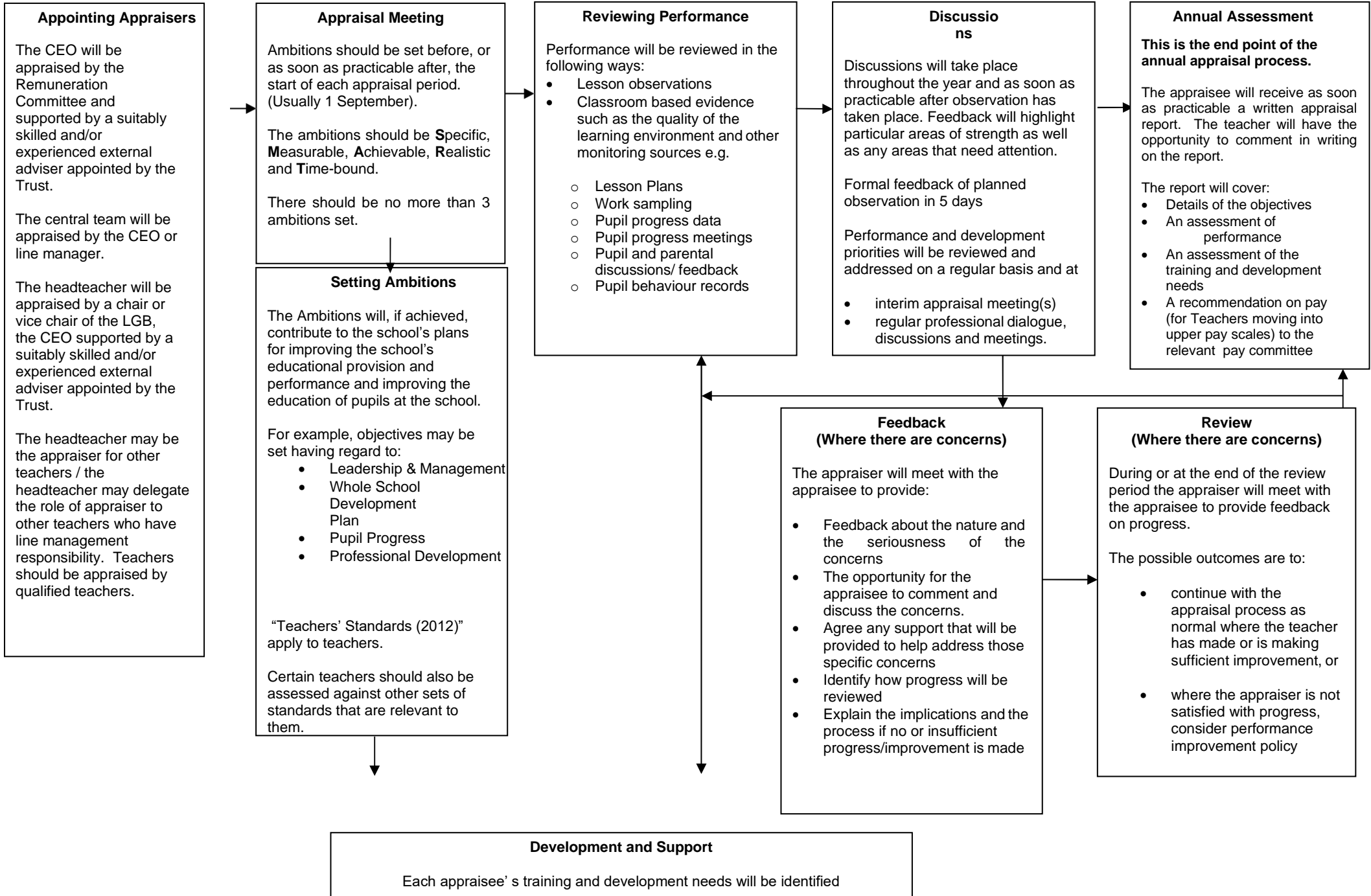
- carry out the role with professionalism, integrity and respect;
- evaluate objectively;
- report accurately and fairly, in a timely manner;
- respect the confidentiality of the information gained, and;
- seek to reach agreement in advance on how classroom observations are to be carried out.

The total period for classroom observation arranged for any teacher, for appraisal purposes, will not exceed three hours per cycle, ensuring the time is proportionate to the individual circumstances and needs of the teacher. There is no requirement to use all of the three hours.

In this school 'proportionate to need' will be determined in keeping with the following principles:

1. Prior to the appraisal observation the context of the observation will be confirmed between the appraiser and appraisee and included in the planning and review statement, which will detail:
  - I. the amount of observation
  - II. its primary purpose
  - III. any particular aspects of the teacher's performance which will be assessed
  - IV. the duration of the observation
  - V. when during the appraisal cycle the observation will take place, and
  - VI. who will conduct the observation.
2. Where evidence emerges about the appraisee's teaching performance which gives rise to concern during the cycle, additional classroom observations may be agreed, subject to a revision meeting being held.
3. Information gathered during the observation will be used, as appropriate, for a variety of purposes. These include informing self-evaluation and school improvement strategies in accordance with the school's commitment to streamlining data collection, minimising bureaucracy and workload burdens on staff.
4. In keeping with the commitment to supportive and developmental classroom observation, those being observed will be notified in advance of the date and time of the observation, with at least 5 working days' notice of the appraisal observation.
5. Classroom observations will only be undertaken by persons with QTS. In addition, in this school, classroom observation will only be undertaken by those who have had adequate preparation and the appropriate professional skills to undertake observation and to provide constructive oral and written feedback and support, in the context of professional dialogue between colleagues.
6. Verbal feedback will be given as soon as possible after the observation and no later than the end of the following working day. It will be given during directed time, in a suitable, private environment.
7. Written feedback will be provided within five working days of the observation taking place. If issues emerged from an observation that were not part of the focus of the observation, as recorded in the planning and review statement, these should also be covered in the written feedback and the appropriate action taken in accordance with the regulations and guidance.
8. The written record of feedback should include the date on which the observation took place, the lesson observed and the length of the observation. The teacher has the right to append written comments on the feedback document. No written notes in addition to the written feedback will be kept.

# Whole School Appraisal Policy Flowchart



## Appendix 3 : Performance Development Form



# Teaching Staff Performance Development Review Cycle Form 2024-2025

<b>Name:</b>	<b>Date:</b>	<b>Role:</b>		
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	<b>Ambition (What?)</b>	<b>Actions (How)?</b>	<b>When</b>	<b>Desirable Outcomes</b>	<b>Training Needs</b>	
<b>Ambition 1</b>		•				
	<b>Interim Review Notes:</b>					
	<b>Final Review Notes:</b>					
	<b>Training Completed:</b>					
<b>Ambition 2</b>		•		•		
	<b>Interim Review Notes:</b>					
	<b>Final Review Notes:</b>					
	<b>Training Completed:</b>					
<b>Ambition 3</b>		•				
	<b>Interim Review Notes:</b>					
	<b>Final Review Notes:</b>					
	<b>Training Completed:</b>					